JC04 Rec'd PCT/PTO 27 JUN 2005 ATTORNEY'S DOCKET NUMBER CRD5013PCT0 FORM PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (Rev. 01-2003) TRANSMITTAL LETTER TO THE UNITED STATES 7 8 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. | INTERNATIONAL FILING DATE PCT/US2004/002673 30 January 2004 31 January 2003 TITLE OF INVENTION FILTER RETRIEVAL CATHETER SYSTEM, AND METHODS APPLICANT(S) FOR DO/EO/US: James A. Fleming III Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include 3. items (5), (6), (9) and 21 indicated below. The US has been elected (Article 31). 4. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. \square is attached hereto (required only if not communicated by the International Bureau). b. A has been communicated by the International Bureau. c. \square is not required, as the application was filed in the United States Receiving Office (RO/US). ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a.
is attached hereto. b. has been previously submitted under 35 U.S.C. 154(d) (4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. \square are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. Thave not been made; however, the time limit for making such amendments has NOT expired. d. 🛮 have not been made and will not be made. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. 17. A computer readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. A second copy of the published international application under 35 U.S.C. 154(d)(4). ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

☑ Other items or information. Unsigned Declaration and Power Of Attorney For Patent Application Express Mail Certificate No. EI465908541US

page 1 of 2

U.S. APPLICATION NO. (PLATER) SHEETER TO TO STATE INTERNATIONAL APPLICATION NO.

U.S. APPLICATION NO. (if	サギザア78	INTERNATIONAL APPLICATION PCT/US2004/00267			
		1017032001700201		Attorney Docket No. CF	RD5013-PCT0
21. ☑ The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)):				CALCULATIONS P	TO USE ONLY
Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO					
International prelimi USPTO but Internati	nary examination fee (37 onal Search Report prep				
	nary examination fee (37 onal search fee (37 CFR				
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$720.00					
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$100.00	
		oath or declaration later that date (37 CFR 1.492(e)).	n 20 30	\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total claims	6 - 20 =		x \$50.00	\$_	
Independent claims			x \$200.00	\$	
MULTIPLE DEPE	ENDENT CLAIM(S) (if applicable)	+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$100.00	
Applicant claims small entity Status. See 37 CFR 1.27. The fees indicated above are reduced by ½,					
SUBTOTAL =				\$100.00	
	130.00 for furnishing arliest claimed priority	\$			
TOTAL NATIONAL FEE =				\$100.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$100.00	
				Amount to be refunded:	\$
				charged:	\$100.00
a.	amount of \$ to c	over the above fees is enclosed.			
b. Please charge my Deposit Account No. 10-0750/MWM/CRD5013-PCT0 in the amount of \$100.00 to cover the above fees. A duplicate copy of this sheet is enclosed.					
c. 🛛 The Commissi overpaymen	ioner is hereby authorized to t to Deposit Account No. <u>10</u>	o charge any additional fees which 0-0750/MWM/CRD5013-PCT0	may be required, or cree A duplicate copy of this	dit any sheet is enclosed.	
		'arning: Information on this form t card information and authorizati		redit card information sb	ould not
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESP Philip S. Johnson, I	Esq.	Signature /)
Johnson & Johnson Michael W. Montgomery One Johnson & Johnson Plaza New Brunswick, NJ 08933-7003 Attorney for Applicant					
New Brunswick, NJ 08933-7003 Attorney for App				pricant	

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):

James A. Fleming III

Filing Date:

June 27, 2005

International Filing Date:

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International Filing No.

PCT/2004/002673

Priority Date:

January 31, 2003

For:

Filter Retrieval Catheter System, And Methods

"Express Mail" mailing number: EI465908541US

Date of Deposit: June 27, 2005

I hereby certify that this National Phase Filing Under 35 U.S.C. 371 including a Transmittal Letter To the United States Designated/Elected Office, unsigned Declaration and Power of Attorney is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, Alexandria, VA 22313-1450

Jose Laguardia

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)